

10/632,235

Case Docket No. BSST.002C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Lon E. Bell

Appl. No.

Unknown

Filed

July 31, 2003

For

EFFICIENCY

THERMOELECTRICS

UTILIZING CONVECTIVE

HEAT FLOW

Examiner

Unknown

Group Art Unit:

Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

10/15/03

Steplen C. Jensen, Reg. No. 35,556

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing 62 references and enclosing 19 references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Stephen C. Jensen Registration No. 35,556 Attorney of Record Customer No. 20,995

(949) 760-0404

Docket No.: BSST.002C1

INFORMATION DISCLOSURE STATEMENT

Applicant

Lon E. Bell

App. No.

Unknown

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EFFICIENCY THERMOELECTRICS

UTILIZING CONVECTIVE HEAT FLOW

Examiner

Unknown

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 62 references. Copies of non-U.S. patents and applications and other publications are submitted pursuant to 37 C.F.R. § 1.98(d). For all others, copies are not provided.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/15/03

By: Stephen C. Jensen

Registration No. 35,556

Attorney of Record Customer No. 20,995

(949) 760-0404

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M PTO-1449 (USE SEVERAL SHEETS IF NECESSARY)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. BSST.002C1

APPLICATION NO. Unknown

RMATION DISCLOSURE STATEMENT **BY APPLICANT**

APPLICANT Lon E. Belí

FILING DATE July 31, 2003

GROUP Unknown

U.S. PATENT DOCUMENTS CLASS SUBCLASS FILING DATE DOCUMENT NUMBER DATE NAME **EXAMINER** (IF APPROPRIATE) INITIAL Rich 3,006,979 10-31-61 04-24-64 Clinehens 2 3,126,116 01-18-72 | Hubert 3 3,635,037 08-08-72 | Schering 4 3,681,929 12-18-73 Miles et al. 5 3,779,814 6 4,065,936 01-03-78 Fenton et al. 7 4.281,516 08-04-81 Berthet et al. 8 4,494,380 01-22-85 Cross 03-15-88 Schlicklin et al. 9 4,730,459 03-15-88 10 Ralston et al. 4,731,338 02-05-91 11 4,989,626 Takaga et al. 12 5,092,129 03-03-92 Bayes et al. 13 03-24-92 5,097,829 Quisenberry 07-20-93 Hed 14 5,228,923 15 5,232,516 08-03-93 Hed 16 5,429,680 07-04-95 Fuschetti 17 5,584,183 12-17-96 Wright et al. 18 5,592,363 01-07-97 Atarashi et al. 19 02-25-97 Park et al. 5,605,047 20 11-04-97 De Viilbiss et al. 5,682,748 21 09-08-98 Schaper at al. 5,802,856 22 5,860,472 01-19-99 Batchelder 02-09-99 Ghoshal 23 5,867,990 24 5,900,071 05-04-99 Harman 25 5,921,088 07-13-99 | Imaizumi et al. Ghoshal 26 5,966,941 10-19-99 27 5,987,890 11-23-99 Chiu et al.

EXAMINER



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

MATION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

ATTY. DOCKET NO. BSST.002C1	APPLICATION NO. Unknown	
APPLICANT Lon E. Bell		
FILING DATE	GROUP	

Unknown

	U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	28	6,082,445	07-04-00	Dugan			
	29	6,084,172	07-04-00	Kishi et al.			
	30	6,096,966	08-01-00	Nishimoto et al.			
	31	6,319,744	11-20-01	Hoon et al.			
	32	6,334,311	01-01-02	Kim et al.			
	33	6,346,668	02-12-02	McGrew			
	34	6,347,521	02-19-02	Kadotani et al.			
	35	6,401,462	06-11-02	Bielinski			
	36	6,446,442	09-10-02	Bathelor et al.			
	37	6,477,844	11-12-02	Ohkubo et al.			
	38	6,481,213	11-19-02	Carr et al.			
	39	6,530,231	03-11-03	Nagy et al.			
	40	6,510,696	01-28-03	Guttman et al.			
	41	6,650,968	05-13-03	Ко			

July 31, 2003

				FOREIGN PATENT DOCUMENTS				
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	SLATION
INITIAL							YES	NO
	42	JP 4-165234	06-11-92	Japan				
	43	JP 56-18231	02-20-81	Japan				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
	44	International Search Report for PCT/US 02/06285 dated 05-11-02.					
	45	International Search Report for PCT/US 02/03654 dated 05-20-02.					
	46	International Search Report for PCT/US 02/03659 dated 07-09-02.					
	47	International Search Report for PCT/US 02/25233 dated 09-24-02.					
	48	International Search Report for PCT/US 03/17834 dated 07-29-03.					

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DATE CONSIDERED

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

MATION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

		SHEET 3 OF 3
ATTY, DOCKET NO. BSST.002C1	APPLICATION NO. Unknown	
APPLICANT Lon E. Bell		
FILING DATE July 31, 2003	GROUP Unknown	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
	49	A New Concept for Improving Thermoelectric Heat Pump Efficiency, R.J. Buist, J.W. Fenton and J.S. Lee, Borg-Warner Thermoelectrics Wolf and Algonquin Road					
	50	Tada, Shigeru, et al., A New Concept of Porous thermoelectric Module Using a Reciprocating Flow for Cooling/Heating System (Numerical Analysis for Heating System), 16 th International Conference on Thermoelectrics (1997)					
	51	Goldsmid, H.J., Electronic Refrigeration, Pion Ltd., 207 Brondesbury Park, London (1986)					
	52	Angrist, Stanley W., <u>Direct Energy Conversion</u> , 32 Ed. Ally & Bacon (1976)					
	53	Miner, A., Majumdar, A., and U. Ghoshal, Thermo-Electro-Mechanical Refrigeration Based on Transient Thermoelectric Effects, Applied Physics letters, Vol. 75, pp. 1176-1178 (1999)					
	54	Buist, R. and Lau, P., Theoretical Analysis of Thermoelectric Cooling Performance Enhancement Via Thermal and Electrical Pulsing, Journal of Thermoelectricity, No. 4, 1996.					
	55	Copending U.S. Application No. 09/844,818 filed on April 27, 2001 (Atty No. 001A) and pending claims					
	56	Copending U.S. Application No. 10/227,398 filed on august 23, 2002 (Atty No. 001CP1) and pending claims					
	57	Copending U.S. Application No. 09/860,725 filed on May 18, 2001 (Atty No. 002A) and pending claims					
	58	Copending U.S. Application No. 09/918,999 filed on July 31, 2001 (Atty No. 002CP1) and pending claims					
	59	Copending U.S. Application No. 10/215,613 filed on August 7, 2002 (Atty No. 004A) and pending claims					
	60	Copending U.S. Application No. 09/987,232 filed on November 6, 2001 (Atty No. 006A) and pending claims					
	61	Copending U.S. Application No. 10/074,543 filed on February 11, 2002 (Atty No. 008A) and pending claims					
	62	Copending U.S. Application No. 10/164,656 filed on June 6, 2002 (Atty No. 009A) and pending claims					

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